

# Arizona Department of Education

Elementary and Secondary Education Act (ESEA) Title Programs Stakeholder Engagement Guidance June 2025

Academic Achievement – Title programs and ESEA Consolidated programs 1535 W. JEFFERSON BIN # 32 | PHOENIX, AZ 85007

### Purpose

The purpose of this guidance document is to assist Local Education Agencies in understanding the role of meaningful collaboration with stakeholder groups. In Arizona, meaningful engagement and collaboration with stakeholders is mandated by the Elementary and Secondary Education Act (ESEA), which was reauthorized in 2016 as the Every Student Succeeds Act (ESSA). This meaningful engagement necessitates the establishment of structures and processes that foster ongoing collaboration and input from a diverse range of stakeholders that reflect the demographics of local districts, schools, and programs. The Elementary and Secondary Education Act (ESEA), which was reauthorized in 2016 as the Every Student Succeeds Act (ESSA) allows State Educational Agencies (SEA) to define, require, or suggest specific stakeholders as needed to be reflective of the unique needs and landscapes of each state's communities. Arizona has determined a minimum group of stakeholders who shall be represented for each Title program within ESEA.

Stakeholders include families, students, educators, community members, and groups relevant to the community or state. This document aims to assist Arizona districts and schools in fulfilling federal and state requirements for stakeholder engagement, employing evidence-based principles for robust partnerships among families, schools, and communities. Organized according to ESEA Stakeholder Engagement Program Requirements (SE), this guidance outlines compliance mandates, provides examples of evidence, and offers resources and implementation strategies for districts receiving ESEA funding and participating in regular federal program monitoring and best practices for quality and meaningful collaboration with stakeholders. Essentially, engagement is the process of communicating to, learning from, and partnering with stakeholders that acknowledges the unique needs and strengths of the stakeholders involved. The Arizona Department of Education believes that stakeholder engagement should be meaningful: include all members, and be clear, effective, and ongoing to best support educational excellence.

### Guidance

### Who Should Use This Guidance?

This document intends to support and provide helpful guidance to local education agencies (LEAs)—including school districts, county offices of education, regional education offices, and charter schools—and school leaders as they engage with stakeholders to consider how ESSA can be best leveraged locally.

# What is Meaningful Stakeholder Engagement?

ESSA's frequent and consistent call for stakeholder engagement provides a significant opportunity for local school districts to consider their contexts, needs, and priorities, and the voices and experiences of families, students, and communities in shaping the potential and efficacy of ESSA. In this context, Stakeholder Engagement is a process established by a Local Education Agency to guarantee continuous collaboration and input from a diverse array of stakeholders representing the district's demographics, including specific student groups such as economically disadvantaged students, English language learners, students with disabilities, and all others required by the State Education Agency (SEA). Local educational agencies (LEAs) must actively involve stakeholders, including all the necessary members, and other members determined at the LEA who need representation due to unique factors in the community, in formulating federal, state, and local education plans. This includes, but may not be limited to, participation in the ESEA Consolidated Application, Schoolwide Title I, Part A plans, and planning activities funded by ESEA Title.

# Why is Stakeholder Engagement required for ESEA Title I Programs?

Stakeholder engagement is vital for ESEA Title programs because it ensures that federal education funding is utilized effectively, equitably, and in alignment with the needs of students, educators, families, and the broader community. Here are the key reasons ESEA requires stakeholder engagement:

- Informed Decision-Making: Engaging stakeholders allows for diverse perspectives and insights, leading to more informed decisions that reflect the community's real needs and priorities and ensuring all students have access to quality education.
- Accountability and Transparency: Active stakeholder participation enhances accountability and transparency in the use of federal funds, fostering trust and confidence in the educational system.
- **Community Support, Empowerment, and Ownership:** The engagement of stakeholders helps build community support and ownership of educational initiatives, leading to more sustainable and successful outcomes.
- **Improved Outcomes:** Collaboration with stakeholders can lead to more effective strategies and interventions, ultimately improving student educational outcomes.

By prioritizing stakeholder engagement, ESEA Title programs can better address their communities' unique challenges and opportunities, leading to more impactful and meaningful educational experiences for all involved.

## What is the Difference Between Stakeholder Committees and Consultation?

The distinction between a Stakeholder Committee and a Consultation within ESEA Title programs lies in their structure, purpose, and style of engagement. Depending on the specific ESEA Title program, varying levels of stakeholder involvement may be required:

#### **Stakeholder Committee**

This is a formal and ongoing group composed of diverse stakeholders, including parents, teachers, administrators, community members, and other members as required by the State Educational Agency (SEA).

• The committee meets regularly to discuss, review, and provide feedback on the program's implementation, including program outcomes, transparency in budgeting to support programs, and program outcomes.

#### **Stakeholder Consultation**

This refers to an ongoing process aimed at maintaining continuous two-way communication. It often involves tools like surveys, which should encourage open discussions rather than merely gathering opinions.

- This approach is more flexible and informal than a committee.
- Consultation can take various forms, such as surveys, focus groups, public meetings, or direct outreach efforts.

# When Should the Schools or Local Education Agency (LEA) Conduct Stakeholder Engagement?

Stakeholder engagement for ESEA Title funding is essential at various points throughout the school year to ensure meaningful contributions and compliance with federal regulations. Regular consultation with stakeholders guarantees that ESEA Title funds are utilized effectively to address student needs and enhance educational outcomes. This includes the school level and the district level. Below are some key periods for stakeholder consultation:

# Planning and Needs Assessment (spring year prior to when planning for the opening of the funding season)

- Analyze data to identify student needs, program priorities, evidence-based research, and funding allocations.
- Engage stakeholders in ongoing, two-way communication to gather their insights on school improvement efforts. This dialogue should focus on fostering open discussions about the data rather than merely collecting opinions.

# Drafting the ESEA Consolidated Application (Late Spring, Summer, or Fall)

- Involve stakeholders in developing the ESEA Consolidated Application to ensure alignment with identified needs. This includes the school-level programs and budgets, and the LEA program and budgets.
- Solicit feedback on proposed initiatives, interventions, and funding priorities.
- Submission of the ESEA Consolidated Application (Late Spring, Summer)
- Allow stakeholders to review and provide input on the final draft before submission.
- Promote transparency regarding funding decisions and planned activities.

## Implementation and Progress Monitoring (Ongoing Throughout the Year)

- Conduct regular check-ins with stakeholders to evaluate the effectiveness of programs.
- Adjust strategies and funding allocations based on stakeholder feedback and student outcomes.

### Mid-Year Review (Winter/Early Spring)

- Reassess progress toward goals and determine whether mid-year adjustments are necessary.
- Involve stakeholders in reviewing data, refining strategies and programs, and include transparency in budget changes and initiatives.

# End-of-Year Evaluation (Late Spring/Summer)

- Collect stakeholder feedback on the impact of funded programs.
- Use this input to inform planning and continuous improvement efforts for the following year. Use in a cyclical process.

# How Does the LEA Demonstrate Sufficient Evidence for a Stakeholder Committee or Consultation?

To effectively demonstrate sufficient evidence for a Stakeholder Committee and Consultation under ESEA Title programs, it is essential to provide clear documentation that complies with federal requirements. This documentation may include surveys, meeting minutes, agendas, PowerPoint presentations, email communications, newsletters, and more. This will be monitored by the State Education Agency (SEA) through a review of these documents and or interviews with any stakeholders.

# What is the Evidence of Stakeholder Committee?

The evidence should illustrate that a formal, ongoing process is in place, actively involving stakeholders in the planning, implementation, and evaluation of ESEA programs. Key components include:

- **Meeting Agendas & Minutes:** These documents should capture discussions, decisions made, and attendance records.
- **Sign-in Sheets or Virtual Attendance Records:** These should demonstrate the participation of a diverse group of stakeholders and must align with other materials like presentation materials and minutes.
- **Committee Membership Lists:** These lists should clearly identify parents, teachers, administrators, and other relevant stakeholders.
- **Bylaws or Operating Procedures:** These documents should define the committee's structure, roles, and responsibilities.
- **Reports & Recommendations:** These should illustrate how stakeholder input has influenced program decisions.
- **Communication Records:** Emails, newsletters, and meeting invitations should provide evidence of outreach to stakeholders.
- **Surveys & Feedback Forms:** These tools should be utilized to gather ongoing input from stakeholders, extending beyond formal meetings.

# Evidence of Stakeholder Consultation:

This evidence should confirm that the required stakeholders were consulted before decision-making and budgeting and that their input was considered during program implementation. Key elements include:

**Notices of Consultation Meetings:** These may include invitations, emails, or public announcements sent to required stakeholders.

**Meeting Agendas and Sign-in Sheets:** These documents should reflect who attended the meetings and the topics discussed.

**Written Correspondence:** Letters or emails should document the efforts made to consult with stakeholders.

**Surveys or Feedback Forms:** These should be employed to collect stakeholder input before decisions are finalized.

**Summary of Feedback and Decisions:** Documents should outline how stakeholder input was integrated into planning processes. This should be in detail all feedback and comments and then how it was or not considered.

**Public Hearing Records:** If consultations occur through public forums, minutes or recordings should be maintained to document the proceedings.

# What does a school or LEA do when they are not required to have a member or have a vacancy?

The Arizona Department of Education recognizes the complexities of quality and effective stakeholder engagement. As stakeholder engagement and the Integrated Action Planning process are cyclical, the Rule of Construction within ESEA provides guidance and protection for the Local Education Agency (LEA). In 20 U.S.C. § 1006 (6) states that the requirements of consultation and collaboration shall not interfere with the timely submission of an LEA's consolidated Integrated Action Plan. Local Education Agency's (LEAs) must work with their program specialist and upload an explanation document describing the reason for the absent member and other information that will assist the state agency in understanding the vacancy, plan for getting the vacancy filled, and the LEA plan for onboarding the member and making adjustment to the plan, goals, or grant application. The LEA's responsible for providing adequate information to the Arizona Department of Education through the grant platform to allow for proper state/LEA collaboration and assist program areas in grant application and approval.



# **APPENDIX:**

- I. Stakeholder Definitions pg. 8-10
- II. Example Stakeholder Group by Category pg. 10- 14
- III. Stakeholder Group Quick Reference Guide pg. 15

#### **Definitions Of Stakeholders:**

#### Teachers

Teachers are essential stakeholders as they directly impact student learning and outcomes. Their insight into classroom needs, curriculum effectiveness, and student progress is invaluable for shaping educational policies and practices. These are the teaching staff and the school level.

#### **Principals/School Administrators**

Principals and school administrators oversee the implementation of educational programs and policies at the school level. Their leadership and management skills are crucial for ensuring that stakeholder engagement processes are effective and aligned with school goals. These staff members are at the school level and are responsible for leading the school.

#### **Other School-Level Leaders**

This group includes assistant principals, department heads, and other leaders within the school who contribute to decision-making processes and support the implementation of educational initiatives.

#### **Parents/Family Members**

Parents and family members provide unique perspectives on student needs and community priorities. Their involvement ensures that educational programs are responsive to the needs of students and families, fostering a supportive and inclusive school environment.

#### **Students and Student Groups**

Students are the primary beneficiaries of educational programs and policies. Their feedback and participation help ensure that initiatives are relevant and effective in meeting their needs and aspirations.

#### Support Staff/Paraprofessionals

Support staff and paraprofessionals assist in the day-to-day operations of schools and provide additional support to students and teachers. Their insights into school functioning and student needs are critical for comprehensive stakeholder engagement.

#### **Specialized Instructional Support Personnel**

This group includes counselors, psychologists, social workers, and other professionals who provide specialized support to students. Their expertise helps address diverse student needs and contributes to the development of effective educational strategies.

#### **Other Administrators**

Other administrators, such as district-level officials, play a key role in overseeing the implementation of educational policies and programs across multiple schools. Their strategic vision and management skills are vital for successful stakeholder engagement.

#### **Non-public Schools**

Non-public schools, including private and charter schools, offer alternative educational options. Their participation in stakeholder engagement ensures that diverse educational perspectives are considered in policy development.

#### Researchers

Researchers contribute evidence-based insights and data analysis to inform educational practices and policies. Their involvement helps ensure that decisions are grounded in research and best practices. In some cases, this overlaps with higher education and career groups. This should include groups that look at data in specific sub-groups and make recommendations or programs based on the data.

#### **Community Partners**

Community partners, such as local businesses, non-profits, and community organizations, provide additional resources and support to schools. Their collaboration enhances the overall educational experience and fosters community involvement.

#### **Other Programs**

This category includes stakeholders involved in specific programs that are within the school or LEA in which students feed into, such as:

- Individuals with Disabilities Education Act (IDEA)
- Perkins Carl D. Perkins Career and Technical Education Act
- McKinney-Vento Homeless Assistance Act
- Adult Education and Family Literacy Act and Workforce Innovation and Opportunity
  Act

Their expertise ensures that specialized programs are effectively integrated into the broader educational framework.

#### **Early Childhood Education Programs**

Early childhood education programs focus on the development and learning of young children. Stakeholders from these programs provide insights into early learning needs and help shape policies that support early childhood education.

#### **Institutions of Higher Learning**

Institutions of higher learning, including colleges and universities, contribute to preparing future educators and developing advanced educational strategies. Their involvement ensures that K-12 education is aligned with higher education goals. These may sometimes overlap research roles, but are not focused on sub-group populations like in the research groups. In the case of lower elementary or middle schools, colleges and trade schools might still be appropriate, but in this case, so would the next school grade, higher. Such as a middle school or junior high school for elementary schools, and a high school representative for middle schools and junior high schools.

#### **Local Government Representatives**

Local government representatives, such as law enforcement agencies, juvenile courts, child welfare agencies, and public housing agencies, provide school support and resources. Their collaboration helps address broader community issues that impact education.

#### Other Stakeholders/Organizations with Relevant Experience

This group includes public or private entities with expertise in education, community development, or related fields. Their participation ensures that diverse perspectives and experiences are incorporated into educational planning and decision-making.

#### Indian Tribes or Tribal Organizations

Indian tribes or tribal organizations represent the interests of Native American communities. Their involvement ensures that educational programs are culturally responsive and address the unique needs of Native American students. These definitions highlight the diverse range of stakeholders involved in ESSA's engagement processes, ensuring that educational policies and practices are inclusive, effective, and responsive to the needs of all community members.

#### Sample Stakeholder Group by Category for Integrated Action Plan (IAP).

Each community is unique, and finding stakeholders can sometimes be tricky. Researching different places and surrounding areas can be helpful. While no guide can give a complete, comprehensive list, the most relevant examples found in Arizona are listed below. In many cases, some examples below might also fit and serve in multiple stakeholder roles.

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Teachers/Educators					
Classroom teachers Elective Teachers Classroom management groups, leaders, and experts School resource officers	Special education teachers Teachers on Assignment Alternative education experts	EL Teachers Classroom facilitators Out of school time teachers and staff			
Principals/School Leaders					
School Principals Any school-level leader who works with operations and instruction at the school level	Heads of School	Headmaster			
<b>Other School Level Leaders</b>	hip				
Assistant Principal Department Heads Head of Operations	Vice Principal Instructional Coaches Business Managers	Deans School Counselors Instructional Specialists			
<b>District Level Leaders</b>					
Charter Management Operators (CMO) Any District/LEA leadership position not named above	Alternative & Transitional Education Providers	Local Union/Association Chapters			
Students & Youth	Not all are valid for Title IV, v student	which must be a current			
Students/scholars	Student mentors – student groups	Alumni Associations			
Juvenile Justice programs	Youth Groups (Boys & Girls Club or other faith-based organization)	Student sub-group with specific needs or assets (EL, students with IEP, Native students, opportunity youth)			
Parents, Families, and other guardian groups					
Biological parents	Step-parents	Grandparents			

Adult brothers and sisters	Adult Aunts and Uncles	Family and community organizations
Local parents/family advocacy groups	Faith-based organization	Parents of sub-group populations
Indian Parent Advisory Councils	Home school parents	Homeschool groups
Family or advocates for students in foster care or youth experiencing	Families or advocates of refugee children and youth	
homelessness		

Government or elected off	cials				
Tribal Leaders	Regional Offices of Education	County Superintendents			
School Board Members	Mayors	City/County Council Members			
Reps from Tribes/First Nations Housing, Health Services	Charter Management (CMOs)	Public Service agencies			
Chamber of Commerce	Homeless shelter staff	Department of Health and Human Services			
Foster Care Services (DES)	Law Enforcement	Military Groups (VFW, American Legion, VA)			
Phoenix Indian Center	Native Health	Navajo Nation Division of Child Support Services			
Inter-Tribal Council of AZ	Native American Veterans Association	Office of Self-Governance			
Native Americans for Community Action (NACA)	Tucson Indian Center	National Indian Child Welfare Assoc. (NICWA)			
National Congress of American Indians	Native American Rights Fund	Bureau of Indian Affairs			
Walking on Common Ground	Native American Connections				
Early Learning Leaders and organizations					
Head start Providers	Local Early Childhood Collaboratives	Snap/WIC providers			
Community & Faith-based	Home Visiting Programs	Montessori Programs			

	Collaboratives	
Community & Faith-based	Home Visiting Programs	Montessori Programs
Preschool providers		
Cener-based childcare	Title I, Part C providers for	Waldorf Schools
providers	migrant youth	
Home-based Child Care	IDEA, Part C Early	Reggio Emilia Preschool
Providers	Intervention providers for	
	infants and toddlers	

HighScope Preschool Faith-Based Preschool programs	Bank Street Preschool Ascend Programs	Parent Co-ops Home-based Preschools				
Non-Public Schools	Non-Public Schools					
Private Schools	Home Schools	State Institution schools & jails				
Residential Treatment Facilities	Medical treatment facilities	Emotional Disability – Private Programs				
Empowerment Scholarship groups or families	Secondary Transition Programs					
Institutions of higher educa Development (also can be t	tion/Organizations supportin he researcher)	ng and workforce				
Accreditation Institutions for K-12 and Higher Education	Teacher Prep and Cert. programs, including traditional & alternative programs, TFA, and fellowships	Students enrolled in teacher prep programs				
Faculty teaching in teacher education preparation programs	Local and state university programs	Childhood development experts				
Post-secondary minority institutions- Serving English Language Learners, Neglected Deliquent youth, Foster youth, or homeless youth	Community College Systems	Faculty from University education programs				
Educational researchers: conduct studies on educational practices and policy	University professors: Provide expertise in specific areas of education	Data Analysts: Analyze educational data to inform decision-making				
Trade Schools	Professional workforce internship providers	Workforce Development Councils				
Other Community Organizations and Local Business Leaders						
Local Business Leaders	Non-profit Organization Representatives	Community Advocates				
Corporations, health & social service providers	Private Industry Councils	GED & workforce programs				
Greek Systems, social or civil organizations	Libraries	Community Centers				

First Responders (fire department)	Local, state, and national- level foundations with an interest in education	School-based support
Youth development organizations	Fair Housing organizations	Community-Based organizations
Early learning advocates	Organizations representing school-based personnel	Community coalitions focused on public education
Disability Advocate organizations	Homeless & foster care advocate organizations	



#### Arizona Department of Education Federal Programs Stakeholder Groups Quick Reference Guide

			Quick	Reference Gu	luc			
Stakeholders	Title I-A Citation: §1112(a)(1)(A), §1112(b)(7-10)	Title I-C § 1681	Title I-D §§ 6421- 6472.	Title II-A Citation: §2102(b)(3)(A) §2102(b)(2)(D)	Title III A Citation: §3116(b)(4)(C)	Title IV-A Citation: §4106(c)(1-2)	Title IV-B §620	McKinney Vento § 11431
Teachers	✓	√	√	~	✓	~	✓	✓
Principals/School Administrators	1	~	~	~	~	~	~	~
Other school-level leaders	~	~	✓	~	~	$\checkmark$	~	$\checkmark$
Parents/family members	~	~	✓	$\checkmark$	~	~	~	~
Students	N/A	N/A	N/A	N/A	N/A	~	~	~
Support staff/paraprofessionals	√	~	N/A	✓	N/A	N/A	N/A	✓
Specialized instructional support personnel	~	N/A	N/A	~	N/A	N/A	N/A	~
Other administrators	✓	~	~	~	$\checkmark$	~	~	✓
Non-public schools	✓	~	~	~	✓	~	~	✓
Researchers	N/A	N/A	N/A	N/A	~	N/A	N/A	N/A
Community Partners	✓	~	✓	~	~	~	✓	~
Other programs -IDEA, Perkins Carl D. Perkins CTE McKinney-Vento Homeless Assistance Act, Adult Education and Family Literacy Act, Workforce Innovation and Opportunity Act.	~	✓	✓ 	✓ 	✓	✓ 	~	✓ 
Early Childhood Education Programs	~	N/A	N/A	N/A	N/A	N/A	N/A	~
Institutions of Higher Learning	~	N/A	N/A	N/A	~	N/A	N/A	~
Local government representatives (which may include a local law enforcement agency, local juvenile court, local child welfare agency, or local public housing agency)	N/A	N/A	N/A	N/A	N/A	~		~
Other stakeholders/organizati ons with relevant experience – Including Public or Private Entities	~	✓	~	✓	~	✓	~	~
Indian tribes or tribal organizations	~	N/A	N/A	N/A	N/A	~	~	~

\*\*ADE recognizes that LEAs may experience missing members or have unique circumstances where it is not possible to get the required members. For any missing members or those who are not suitable for the LEA or school, the LEA will upload a rationale and/or timeline to explain the absence of these members. \*\* For grant assistance, contact your program specialist.