



<p>Recipient Information</p> <p>1. Recipient Name ARIZONA DEPARTMENT OF EDUCATION 1535 W JEFFERSON ST PHOENIX, AZ 85007</p> <p>2. Congressional District of Recipient 03</p> <p>3. Payment System Identifier (ID) 1866004791A3</p> <p>4. Employer Identification Number (EIN) 866004791</p> <p>5. Data Universal Numbering System (DUNS) 804746097</p> <p>6. Recipient's Unique Entity Identifier VV12DZ6AKAR3</p> <p>7. Project Director or Principal Investigator Rebecca Astorga , MA Rebecca.Astorga@azed.gov 602-542-8728</p> <p>8. Authorized Official Ross Begnoche Ross.Begnoche@azed.gov 602-552-7883</p>	<p>Federal Award Information</p> <p>11. Award Number 6H79SM080976-05M003 (No-Cost Extension)</p> <p>12. Unique Federal Award Identification Number (FAIN) H79SM080976</p> <p>13. Statutory Authority 520A (290bb-32) of the Public Health Service Act, as amended</p> <p>14. Federal Award Project Title Arizona Project AWARE</p> <p>15. Assistance Listing Number 93.243</p> <p>16. Assistance Listing Program Title Substance Abuse and Mental Health Services_Projects of Regional and National Significance</p> <p>17. Award Action Type Amendment</p> <p>18. Is the Award R&D? No</p>																								
<p>Federal Agency Information</p> <p>9. Awarding Agency Contact Information Ernest Stevens Grants Specialist Ernest.Stevens@samhsa.hhs.gov (240) 276-0631</p> <p>10. Program Official Contact Information Jennifer Treger Program Official jennifer.treger@samhsa.hhs.gov 240-276-1892</p>	<p>Summary Federal Award Financial Information</p> <table border="1"> <tr> <td colspan="2">19. Budget Period Start Date 09/30/2022 – End Date 03/29/2024</td> </tr> <tr> <td>20. Total Amount of Federal Funds Obligated by this Action</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td> 20a. Direct Cost Amount</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td> 20b. Indirect Cost Amount</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>21. Authorized Carryover</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>22. Offset</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>23. Total Amount of Federal Funds Obligated this budget period</td> <td style="text-align: right;">\$1,800,000</td> </tr> <tr> <td>24. Total Approved Cost Sharing or Matching, where applicable</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>25. Total Federal and Non-Federal Approved this Budget Period</td> <td style="text-align: right;">\$1,800,000</td> </tr> <tr> <td colspan="2">-----</td> </tr> <tr> <td colspan="2">26. Project Period Start Date 09/30/2018 – End Date 03/29/2024</td> </tr> <tr> <td>27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period</td> <td style="text-align: right;">\$9,000,000</td> </tr> </table>	19. Budget Period Start Date 09/30/2022 – End Date 03/29/2024		20. Total Amount of Federal Funds Obligated by this Action	\$0	20a. Direct Cost Amount	\$0	20b. Indirect Cost Amount	\$0	21. Authorized Carryover	\$0	22. Offset	\$0	23. Total Amount of Federal Funds Obligated this budget period	\$1,800,000	24. Total Approved Cost Sharing or Matching, where applicable	\$0	25. Total Federal and Non-Federal Approved this Budget Period	\$1,800,000	-----		26. Project Period Start Date 09/30/2018 – End Date 03/29/2024		27. Total Amount of the Federal Award including Approved Cost Sharing or Matching this Project Period	\$9,000,000
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<p>30. Remarks</p>	<p>28. Authorized Treatment of Program Income Additional Costs</p> <p>29. Grants Management Officer - Signature Ernest Stevens</p>																								

Acceptance of this award, including the "Terms and Conditions," is acknowledged by the recipient when funds are drawn down or otherwise requested from the grant payment system.



FY 2018 Project AWARE-SEA
Department of Health and Human Services
Substance Abuse and Mental Health Services Administration
Center for Mental Health Services

Notice of Award

Issue Date: 09/12/2023

Award Number: 6H79SM080976-05M003
FAIN: H79SM080976
Program Director: Rebecca Astorga MA

Project Title: Arizona Project AWARE

Organization Name: ARIZONA DEPARTMENT OF EDUCATION

Authorized Official: Ross Begnoche

Authorized Official e-mail address: Ross.Begnoche@azed.gov

Budget Period: 09/30/2022 – 03/29/2024

Project Period: 09/30/2018 – 03/29/2024

Dear Grantee:

The Substance Abuse and Mental Health Services Administration hereby awards a grant in the amount of \$0 (see "Award Calculation" in Section I and "Terms and Conditions" in Section III) to ARIZONA DEPARTMENT OF EDUCATION in support of the above referenced project. This award is pursuant to the authority of 520A (290bb-32) of the Public Health Service Act, as amended and is subject to the requirements of this statute and regulation and of other referenced, incorporated or attached terms and conditions.

This award addresses the following Amendment requests:

- No-Cost Extension (6H79SM080976-05L002)

Award recipients may access the SAMHSA website at www.samhsa.gov (click on "Grants" then SAMHSA Grants Management), which provides information relating to the Division of Payment Management System, HHS Division of Cost Allocation and Postaward Administration Requirements. Please use your grant number for reference.

Acceptance of this award including the "Terms and Conditions" is acknowledged by the grantee when funds are drawn down or otherwise obtained from the grant payment system.

If you have any questions about this award, please contact your Grants Management Specialist and your Government Project Officer listed in your terms and conditions.

Sincerely yours,
Ernest Stevens
Grants Management Officer
Division of Grants Management

See additional information below

SECTION I – AWARD DATA – 6H79SM080976-05M003

Award Calculation (U.S. Dollars)

Personnel(non-research)	\$162,875
Fringe Benefits	\$55,866
Travel	\$7,988
Supplies	\$11,899
Contractual	\$1,498,579
Other	\$11,652
Direct Cost	\$1,748,859
Indirect Cost	\$51,141
Approved Budget	\$1,800,000
Federal Share	\$1,800,000
Cumulative Prior Awards for this Budget Period	\$1,800,000

AMOUNT OF THIS ACTION (FEDERAL SHARE) \$0

SUMMARY TOTALS FOR ALL YEARS	
YR	AMOUNT
5	\$1,800,000

Note: Recommended future year total cost support, subject to the availability of funds and satisfactory progress of the project.

Fiscal Information:

CFDA Number: 93.243
EIN: 1866004791A3
Document Number: 18SM80976A
Fiscal Year: 2022

IC	CAN	Amount
SM	C96J686	\$0

IC	CAN	2022
SM	C96J686	\$0

SM Administrative Data:

PCC: AWARES18 / **OC:** 4145

SECTION II – PAYMENT/HOTLINE INFORMATION – 6H79SM080976-05M003

Payments under this award will be made available through the HHS Payment Management System (PMS). PMS is a centralized grants payment and cash management system, operated by the HHS Program Support Center (PSC), Division of Payment Management (DPM). Inquiries regarding payment should be directed to: The Division of Payment Management System, PO Box 6021, Rockville, MD 20852, Help Desk Support – Telephone Number: 1-877-614-5533.

The HHS Inspector General maintains a toll-free hotline for receiving information concerning fraud, waste, or abuse under grants and cooperative agreements. The telephone number is: 1-800-HHS-TIPS (1-800-447-8477). The mailing address is: Office of Inspector General, Department of Health and Human Services, Attn: HOTLINE, 330 Independence Ave., SW, Washington, DC 20201.

SECTION III – TERMS AND CONDITIONS – 6H79SM080976-05M003

This award is based on the application submitted to, and as approved by, SAMHSA on the above-title project and is subject to the terms and conditions incorporated either directly or by reference in the following:

- a. The grant program legislation and program regulation cited in this Notice of Award.
- b. The restrictions on the expenditure of federal funds in appropriations acts to the extent those restrictions are pertinent to the award.
- c. 45 CFR Part 75 as applicable.
- d. The HHS Grants Policy Statement.
- e. This award notice, INCLUDING THE TERMS AND CONDITIONS CITED BELOW.

Treatment of Program Income:

Use of program income – Additive: Recipients will add program income to funds committed to the project to further eligible project objectives. Sub-recipients that are for-profit commercial organizations under the same award must use the deductive alternative and reduce their subaward by the amount of program income earned.

In accordance with the regulatory requirements provided at 45 CFR 75.113 and Appendix XII to 45 CFR Part 75, recipients that have currently active Federal grants, cooperative agreements, and procurement contracts with cumulative total value greater than \$10,000,000 must report and maintain information in the System for Award Management (SAM) about civil, criminal, and administrative proceedings in connection with the award or performance of a Federal award that reached final disposition within the most recent five-year period. The recipient must also make semiannual disclosures regarding such proceedings. Proceedings information will be made publicly available in the designated integrity and performance system (currently the Federal Awardee Performance and Integrity Information System (FAPIIS)). Full reporting requirements and procedures are found in Appendix XII to 45 CFR Part 75.

SECTION IV – SM SPECIAL TERMS AND CONDITIONS – 6H79SM080976-05M003**NO-COST EXTENSION:**

1. This Post Award Amendment extends the budget and project periods from September 30, 2023 to March 29, 2024 per the *revised* request dated August 22, 2023.

This is a partial approval of the request to extend the budget and project periods by 12-months, and extends those periods by 6-months instead.

If the final resolution of the audit covering the above stated budget period(s) determines that the unobligated balance of funds is incorrect, SAMHSA will not make additional funds available to cover any shortfall.

2. During this extension period, the recipient organization is only authorized to utilize funds remaining in this current (Year-05) budget period, this authorization does not include the unobligated / unused funds from any previous budget periods.

3. The recipient organization may expend \$215,112.00 of Federal Funds for the following

period:

9/30/2023 - 03/29/2024: \$215,112.00

STANDARD TERMS AND CONDITIONS

Closeout Requirements - Discretionary Grants

Recipients must complete all actions required for closeout to include:

- Liquidate all obligations incurred under the award. All payment requests must be submitted before the end of the **(120) days post-award reconciliation/liquidation period**.
- Reconcile financial expenditures to the reported total disbursements and charges in PMS.
- Return any funds due to PMS as a result of refunds, corrections, or audits. Refer the following link for additional guidance <https://pms.psc.gov/grant-recipients/returning-funds-interest.html>

Recipients must close the award in accordance with 2 CFR 200.344 Closeout and the terms and conditions listed in the grant notice of award. Recipients must liquidate all obligations incurred under an award not later than one hundred twenty (120) days after the end of awards obligation and project period. **After one hundred twenty (120) days, PMS account is automatically - locked. SAMHSA does not approve payment requests after one hundred twenty (120) days post-award reconciliation/liquidation period.** Therefore, recipients are expected to complete all expenditure requests within the approved project period and the aforementioned 120-day post-award reconciliation/liquidation period. **Recipients late withdrawal requests occurring after the aforementioned periods will be denied. Final reports are due to SAMHSA no later than 120 days after the end of the project period.** Final reports include:

- Submit via PMS the Final Federal Financial Report (Final FFR, SF-425) (PDF | 1.2 MB).
- Submit in eRA Commons the Final Progress Report (FPR) or other reports required by the terms and conditions of the award.
- Submit in eRA Commons a Tangible Personal Property Report (TPPR SF-428, SF428B & if needed additional forms from SF428 series) to account for any property acquired with federal funds or indicate on the form that you have no property to report.

Failure to complete the closeout actions in 120 days after the project period end may result in a unilateral closeout of the grant by SAMHSA. This may affect future funding of federal programs and result in the reimbursement of funding to SAMHSA. **If the recipient does not submit all reports satisfactorily in accordance with 2 CFR 200.344 SAMHSA will report the recipients material failure to comply with the terms and conditions of the award with the OMB-designated integrity and performance system (currently FAPIIS). Federal awarding agencies may also pursue other enforcement actions per 2 CFR 200.339.** Refer to the following SAMHSA for Closeout Standard Terms and Conditions <https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>. Additional information on closeout is available at <https://www.samhsa.gov/grants/grants-management/grant-closeout>.

Standard Terms for Awards

Your organization must comply with the Standard Terms and Conditions for the Fiscal Year in which your grant was awarded. The Fiscal Year for your award is identified on your Notice of Award. SAMHSA's Terms and Conditions webpage is located at:

<https://www.samhsa.gov/grants/grants-management/notice-award-noa/standard-terms-conditions>.

Standards for Financial Management

Recipients and subrecipients are required to meet the standards and requirements for financial management systems set forth in 45 CFR part 75 Subpart D. The financial systems must enable the recipient and subrecipient to maintain records that adequately identify the sources of funds for federally assisted activities and the purposes for which the award was used, including authorizations, obligations, unobligated balances, assets, liabilities, outlays or expenditures, and any program income. The system must also enable the recipient and subrecipient to compare actual expenditures or outlays with the approved budget for the award. SAMHSA funds must retain their specific identity – they may not be commingled with non-federal funds or other federal funds. “Commingling funds” typically means depositing or recording funds in a general account without the ability to identify each specific source of funds with related expenditures.

Reasonable Costs for consideration

Recipients must exercise proper stewardship over Federal funds and ensure that costs charged to awards are allowable, allocable, reasonable, necessary, and consistently applied regardless of the source of funds according to “Reasonable Costs” consideration per 2 CFR § 200.404 and the “Factors affecting allowability of costs” per 2 CFR § 200.403. A cost is reasonable if, in its nature and amount, it does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the cost.

Consistent Treatment of Costs

Recipients must treat costs consistently across all federal and non-federal grants, projects and cost centers. Recipients may not direct-charge federal grants for costs typically considered indirect in nature, unless done consistently. If part of the indirect cost rate, then it may not also be charged as a direct cost. Examples of indirect costs include (administrative salaries, rent, accounting fees, utilities, office supplies, etc.). If typical indirect cost categories are included in the budget as direct costs, it is SAMHSA’s understanding that your organization has developed a cost accounting system adequate to justify the direct charges and to avoid an unfair allocation of these costs to the federal government. Also, note that all awards are subject to later review in accordance with the requirements of [45 CFR 75.364](#), [45 CFR 75.371](#), [45 CFR 75.386](#) and [45 CFR Part 75, Subpart F](#), Audit Requirements.

Compliance with Award Terms and Conditions

FAILURE TO COMPLY WITH THE ABOVE STATED TERMS AND CONDITIONS MAY RESULT IN ACTIONS IN ACCORDANCE WITH [45 CFR 75.371](#), REMEDIES FOR NON-COMPLIANCE AND [45 CFR 75.372](#) TERMINATION. THIS MAY INCLUDE WITHHOLDING PAYMENT, DISALLOWANCE OF COSTS, SUSPENSION AND DEBARMENT, TERMINATION OF THIS AWARD, OR DENIAL OF FUTURE FUNDING.

All previous terms and conditions remain in effect until specifically approved and removed by the Grants Management Officer.

Staff Contacts:

Jennifer Treger, Program Official

Phone: 240-276-1892 **Email:** jennifer.treger@samhsa.hhs.gov

Ernest Stevens, Grants Specialist

Phone: (240) 276-0631 **Email:** Ernest.Stevens@samhsa.hhs.gov **Fax:** (240) 276-1430